Transfer (R11) Self-Assessment Program and Moratorium – Member FAQ

Helping you stay informed and supported during the compliance period

How do I self-assess my dog records?

- Check your records <u>Dogs Australia Member Portal</u>
- Submit a Record Update Report Form OR request a Record Update Report
- Request support via our enquiry form.

What is a moratorium?

A **moratorium** is a temporary pause or suspension of certain rules or penalties. In this case, Dogs West is pausing **penalties and late fees** for breaches of Regulation R11.1 for dog transfers that **should have occurred between October 2021 and April 2025**.

This moratorium runs from **1 July 2025 to 30 June 2026**, in line with the Transfer (R11) Self-Assessment Program, giving members a chance to catch up on overdue transfer paperwork from that period **without being penalised**.

What is the R11 Moratorium?

The R11 Moratorium is a temporary suspension of penalties for breaches of Regulation R11.1 (relating to transfer forms and Non-Transfer agreements). It runs from **1 July 2025 to 30 June 2026**, and gives members a chance to self-identify and fix outstanding transfer records without incurring late fees.

What does Regulation R11.1 say?

Regulation 11.1 states that:

"A seller shall be responsible to transfer the ownership of a dog when a dog is disposed. A completed transfer of ownership form to the new owner must be submitted by the seller to the Association within 90 days of change of ownership. Where it is agreed by the parties that the dog is to remain in the registered ownership of the seller, then a Non-Transfer

agreement must be submitted by the seller on the approved form within 90 days."

When did this rule come into effect?

From **1 October 2021**, Dogs West members have been required to do one of the following **within 90 days** of disposing of a dog:

- Submit a transfer of ownership to Dogs West to place the dog in the new owner's name, OR
- Lodge a Non-Transfer Agreement (signed by both parties) if it has been agreed the dog will remain in the seller's name.

This requirement falls under Section R of the Dogs West Regulations and is aligned with Part 6 of the Dogs Australia (ANKC) Regulations.

The national rule change was passed by Dogs Australia in **February 2021**, with the following motion:

"Effective 1 March 2021, it is the breeder/seller's responsibility, when disposing of a puppy/dog, to transfer the ownership of the puppy/dog to the new owner."

Dogs West's implementation followed with effect from 1 October 2021.

Why is Dogs West offering the self-assessment program and moratorium?

Dogs West recognises that many breeders—especially those with high litter volumes—may have unintentionally fallen behind on their transfer paperwork since Regulation R11.1 came into effect in 2021.

The cost of correcting these issues, especially with accumulated late fees, could be significant and discouraging. This moratorium provides a fair and supportive window to help members get back on track without the financial burden of penalties, while still upholding the integrity of our registration system.

It's about education, transparency, and supporting members through a structured compliance reset.

Why should I participate? What's in it for me?

Participating in the self-assessment program helps you:

- Avoid expensive late transfer penalties
- Bring your prefix and records into compliance
- Demonstrate good standing with the Association
- Access support and flexibility (e.g. case-by-case help, payment plans, Stat Decs where needed)
- Avoid future compliance issues once the moratorium ends

It's a **one-time opportunity** to sort out past transfer issues in a way that's **manageable**, **cost-effective**, **and fully supported** by Dogs West.

Who is eligible?

All Dogs West members who:

- Disposed of a dog between October 2021 and April 2025 and have not submitted transfer or non-transfer agreements; and
- Voluntarily identify their own non-compliance.

What's covered by the moratorium?

The moratorium applies **only to breaches of Regulation R11.1**— specifically, overdue dog transfers or missing Non-Transfer Agreements for dogs sold **between October 2021 and April 2025**.

If you voluntarily identify and correct these issues between 1 July 2025 and 30 June 2026, you will:

- Not be charged late fees or penalties
- Not have a compliance case raised against you for these specific breaches
- Be supported by Dogs West to bring your records up to date

The self-assessment program offers a **safe and supported way** for members to fix transfer issues without fear of disciplinary action, as long as they engage with the process in good faith.

What's not covered?

- Breaches charged before 1 July 2025
- Breaches identified after 30 June 2026
- Requests for refunds on past penalties or late fees

Do I need to notify Dogs West to be eligible?

Yes! **You must self-identify** your outstanding transfers or agreements. You can do this by:

- Checking your record –
- Submitting a Record Update Form
- OR Requesting a Record Update Form via the Self-Assessment Request Form
- Submitting the correct forms within the timeframes stated in correspondence

What if I can't contact the new dog owner?

If you've made a reasonable effort to contact the buyer and have been unsuccessful, the CEO may approve a Statutory Declaration in place of the buyer's signature.

How will I know if I have uncompleted transfers?

Start by reviewing your own records—look back at all dogs you've sold or rehomed since **October 2021** and check whether a transfer or Non-Transfer Agreement was submitted.

If you're unsure, **Dogs West can help**. Members will be encouraged to **submit a Self-Assessment Request Form** to access their current animal records. Once submitted, we'll provide a list of all dogs **still**

registered in your name. You can then compare this list to your own records to identify any dogs that may need to be transferred or covered by a Non-Transfer Agreement.

This simple process ensures you're not left guessing—and gives you a clear starting point to get up to date.

I have many outstanding transfers—can I get help?

Yes! Dogs West is offering case-by-case support to members with complex or high-volume compliance issues. Just reach out—we're here to help.

I don't have time to contact Dogs West—what can I do?

We understand that breeders are busy, and managing administrative tasks can be time-consuming. To help, **Dogs West now offers an** *Authorised Person Application Form*.

If you're short on time, you can **nominate someone you trust**—such as a family member, co-breeder, or kennel manager—to communicate with Dogs West and assist with your R11 Self-Assessment on your behalf.

By completing the form:

- The authorised person will be allowed to request records, receive updates, and help manage your transfers.
- You retain full responsibility, but they can do the legwork for you.

This is a great option if you need support or simply don't have the capacity to manage everything directly right now.

How to apply: You can request the **Authorised Person Application Form** from the Dogs West office or download it from our website here.

Let us help make compliance as smooth as possible—for you, and with you.

How much does it cost to transfer a dog? Are payment plans available?

The standard transfer fee is \$47 per dog.

Dogs West understands that for some members—especially those with a backlog of transfers—this cost can add up. That's why **payment plans** will be available for members participating in the Transfer (R11) Self-Assessment Program.

If you need a payment plan:

- You'll need to arrange it with Dogs West before 30 April 2026.
- The plan must be paid in full by June 2026.
- Payment plans are only available during the moratorium period and must be approved case-by-case.

Important: Members who do not meet the terms of their payment plan will be in breach of Regulation **A11.4**, which states that:

- Members who fail to settle outstanding balances (excluding subscription fees), or
- Default on agreed payment plans,
 will have three months to settle the account before membership privileges are suspended until full payment is made.

We're here to help—please contact the Dogs West office early if you'd like to discuss a plan that works for you.